FIN-FSA FINANSSIVALVONTA

Financial sector's reporting map 31 December 2020

Data sets to be reported		Categorisation of reporting institutions	Frequence	Information to be sub-mitted to	Person(s) responsible for contents	Person responsible for monitoring of receptions	Reference
FINREP	Reporting of financial information **F** tables	**Credit institutions** Solo: 201, 202, 203, 206, 207, 210, 211, 212, 215, 216, 221, 222, 261 Group: 204, 205, 213, 214, 236, 260, 264, 266, 267	Quarterly, semiannually and annually	FSA	Sinikka Taskinen F tables18 and 19 Tommi Aarnio	EBAReportingHelpdesk(at)fiva.fi	Regulations and guidelines 20/2013
		Investment firms Solo: 232, 235, 240, 245, 246, 247 Group: 238, 239, 244, 538, 539, 544	Quarterly		Per Rostedt	VIRATIhelpdesk(at)fiva.fi	
		Fund management companies and alternative investment fund managers Solo: 250, 252, 253, 254, 256, 351, 352, 353, 354, 371, 372, 373, 374, 381, 382, 383, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398					
	FA tables	**Investment firms** Solo: 232, 235, 240, 245, 246, 247 Group: 238, 239, 244	Quarterly and annually	FSA	Per Rostedt	VIRATIhelpdesk(at)fiva.fi	
		Fund management companies and alternative investment fund managers Solo: 250, 252, 253, 254, 351, 352, 353, 354, 371, 372, 373, 374, 381, 382, 383, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398					
	FT tables	**Investment firms** Solo :232, 235, 240, 245, 246 Group: 238, 239, 244	Quarterly and annually	FSA	Per Rostedt	VIRATIhelpdesk(at)fiva.fi	
		Fund management companies and alternative investment fund managers Solo: 252, 253, 371, 372, 373, 374, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398					
COREP	Own funds **COREP OF**	**Credit institutions** Soolo: 201, 210, 221*, 222* Konserni: 205, 214, 260, 262	Quarterly and semiannually	FSA	Own funds: Minna Sahari LR: Tommi Aarnio MR: Tommi Aarnio OR: Anne Nisén	EBAReportingHelpdesk(at)fiva.fi	Regulations and guidelines 26/2013
		Investment firms Soolo: 232, 235, 240, 245 Konserni: 238, 239, 244, 538, 539, 544				EBAReportingHelpdesk(at)fiva.fi	
		Fund management companies and alternative investment fund managers Soolo: 250, 252, 351, 352, 353, 354, 371, 372, 373, 374, 381, 382, 383, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398				EBAReportingHelpdesk(at)fiva.fi	
	Equity ratio **COREP LR**	**Credit institutions** Soolo: 201, 210 Konserni: 205, 214, 260, 262	Quarterly and semiannually	FSA	Leverage: Tommi Aarnio	EBAReportingHelpdesk(at)fiva.fi	
		Investment firms Soolo: 245 Konserni: 239, 539				EBAReportingHelpdesk(at)fiva.fi	
	* Only C 15.00 (CR IP Losses) Exposures and losses from lending collaterialised by immovable property, semiannually.						
	Large exposures **COREP LE**	**Credit institutions** Solo: 201, 210 Group: 205, 214, 260, 265, 266	Quarterly	FSA	Tommi Aarnio	EBAReportingHelpdesk(at)fiva.fi	
		Investment firms Solo: 245 Group: 239, 539				EBAReportingHelpdesk(at)fiva.fi	
	Liquidity requirement **COREP LCR DA**	**Credit institutions** Solo: 201, 210 Group: 205, 214, 260	Monthly	FSA	Tommi Aarnio	EBAReportingHelpdesk(at)fiva.fi	
	Stable funding requirement **COREP NSFR**	**Credit institutions** Solo: 201, 210 Group: 205, 214, 260	Quarterly	FSA	Tommi Aarnio	EBAReportingHelpdesk(at)fiva.fi	
	Additional monitoring metrics for liquidity reporting **COREP ALM**	**Credit institutions** Solo: 201, 210 Group: 205, 214, 260	Monthly or quarterly	FSA	Tommi Aarnio	EBAReportingHelpdesk(at)fiva.fi	
	Remuneration **REM**	**Credit institutions** Group: 205, 260	Yearly	FSA	Erika Penttilä	EBAReportingHelpdesk(at)fiva.fi	
	High Earners **REM HE**	**Credit institutions** Solo: 210 Group: 205, 214, 260	Yearly	FSA	Erika Penttilä	EBAReportingHelpdesk(at)fiva.fi	
		Investment firms Solo: 232, 235, 240, 245, 247 Group: 238, 239, 244, 538, 539, 544					
AE	Asset encumbrance reporting	**Credit institutions** Solo: 201, 210 Group: 205, 214, 260	Quarterly, semiannually and annually	FSA	Tommi Aarnio	EBAReportingHelpdesk(at)fiva.fi	Regulations and guidelines 5/2014
		Investment firms Solo: 235, 240, 245 Group: 238, 239, 244, 538, 539 , 544					
		Fund management companies Solo: 252, 390, 391, 392, 393, 394, 395, 396, 397, 398					
FP	Reporting of funding plans	**Credit institutions** Group: 205, 214, 260	Yearly	FSA	Marjo Risku	EBAReportingHelpdesk(at)fiva.fi	Regulations and guidelines 6/2014
SBP CR SBP RM SBP IMV	Supervisory Benchmarking Portfolios	**Credit institutions** Solo: 201, 210 Group: 205, 260	Yearly	FSA	Matti Suni Tomi Halme	EBAReportingHelpdesk(at)fiva.fi	CRD article 78 Finnish Credit Institution act 11
COVID19	Additional reporting in the context of COVID-19	**Credit institutions at the highest level of consolidation**	Quarterly (until 12/2021)	FSA	Torsten Groschup Jaana Ladvelin Tommi Aarnio	EBAReportingHelpdesk(at)fiva.fi	Regulations and guidelines 3/2020
S	State of the banking system	**Credit institutions** Solo: 201, 202, 221 Group: 205, 260, 264	Quarterly	FSA	Torsten Groschup	VIRATIhelpdesk(at)fiva.fi	Regulations and guidelines 1/2014
R	Interest rate risk	**Credit institutions** Solo: 201, 260	Quarterly	FSA	Tommi Aarnio	VIRATIhelpdesk(at)fiva.fi	
LTC	The loan-to-value report	**Credit institutions** Solo: 201, 202, 210, 221, 222	Quarterly	FSA	Torsten Groschup	VIRATIhelpdesk(at)fiva.fi	
RVA	Capital adequacy	**Holding companies of financial and insurance conglomerates** Group: 405, 265, 266	Quarterly	FSA	Jaana Ladvelin	VIRATIhelpdesk(at)fiva.fi	Regulations and guidelines 7/2015
KP	Reporting of mortgage bank operations	**Credit institutions** Solo: 201, 210	Quarterly	FSA	Torsten Groschup	VIRATIhelpdesk(at)fiva.fi	Regulations and guidelines 7/2012

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Data sets to be reported		Categorisation of reporting institutions	Frequence	Information to be sub-mitted to	Person(s) responsible for contents	Person responsible for monitoring of receptions	Reference
RA	Risk assesment questionnaire	**Credit institutions** Solo: 201, 210, 221, 222 **Authorised payment institutions and registered payment service providers:** 257, 258, 259 **Investment firms** Solo: 232, 235, 240, 245, 246, 247 **Fund management companies and alternative investment fund managers** Solo: 250, 252, 253, 350, 351, 352, 353, 354, 371, 372, 373, 374, 381, 382, 383, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398	Annually	FSA	Ulla-Maija Kaivola	VIRATIhelpdesk(at)fiva.fi	AML act (444/2017)
STT	Settlement internalisation (CSDR)	**Credit institutions** Solo: 201, 202, 203 Group: 205 The reporting obligation based on announcement by reporting entity	Quarterly	FSA	Jenni Koskinen	STThelpdesk(at)fiva.fi	Regulations and guidelines 6/2019
MF	Reporting of fraud data related to payment services	**Credit institutions:** Solo: 202, 211, 221, 222, Group: 260 The reporting obligation applies to domestic credit institutions and branches of foreign credit institutions operating in Finland if they provide payment services in Finland.	Semiannually	FSA	Heli Mäkitalo	VIRATIhelpdesk(at)fiva.fi	Regulations and guidelines 8/2014 (From 1.1.2020)
		Authorised payment institutions: 258, 259 The reporting obligation applies to all payment institutions, incl. branches of foreign payment institutions providing payment services in Finland.	Semiannually				
		Registered payment service providers providing payment services without authorisation: 258, 259 The reporting obligation applies to legal and natural persons providing payment services without authorization in Finland.	Annually				
AIFMD	AIFMD reporting	**Alternative investment fund managers** 349, 350, 351, 352, 353, 354, 361, 362, 363, 364, 371, 372, 373, 374, 381, 382, 383, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398	Quarterly, semiannually and annually	FSA	Jori Oksanen	AIFMDReportingHelpdesk(at)fiva.fi	Regulations and guidelines 4/2014
MMF	Reporting on money market funds	**Money market funds** 255	Quarterly	FSA	Jori Oksanen	AIFMDReportingHelpdesk(at)fiva.fi	Regulations and guidelines 3/2011 & 4/2014
RATI	MFI data collection	**Credit institutions** Solo: 211, 221, 222, 281, 291, 297	Monthly and quarterly	BOF	Jaakko Suni (BOF) Miska Widgrén (BOF)	rahoitustilastot(at)bof.fi Katja Kelloniemi (BOF) Johanna Pöyry-Renholm (BOF)	-Regulation concerning the balance sheet of the MFI sector (ECB/2013/33, as amended by ECB/2014/51) -Regulation concerning statistics on interest rated applied by monetary financial institutions (ECB/2013/34, as amended by ECB/2014/30) -Guideline on monetary and financial statistics (ECB/2014/15, as amended by ECB/2014/43) -Instructions
KOTI	Consolidated data collection	**Credit institutions** Group: 205	Quarterly	BOF	Jaakko Suni (BOF) Miska Widgrén (BOF)	rahoitustilastot(at)bof.fi Johanna Pöyry-Renholm (BOF) Katja Kelloniemi (BOF)	- BIS guidelines: BIS international banking statistics - Instructions
SIRA/PEF	Survey on investment funds	**Investment- and private equity funds**	Monthly	BOF/FSA	Miska Jokinen (BOF) Jori Oksanen (FSA)	sijoitusrahastot(at)bof.fi Miska Jokinen (BOF)	-Regulation concerning statistics on the assets and liabilities of investment funds (ECB/2013/38) -Regulation concerning the balance sheet of the MFI sector (ECB/2013/33, as amended by ECB/2014/51) -Guideline on monetary and financial statistics (ECB/2014/15, as amended byECB/2014/43) -Instructions
MATI	Data collection for payment statistics	**Credit institutions** **Payment institutions**	Annually	BOF	Jenna Björklund (BOF)	paystat(at)bof.fi Jenna Björklund (BOF)	-Regulation (ECB/2013/43) -Guideline on payment statistics (ECB/2014/15)
LUOTI	Credit data collection	**Credit institutions**	Monthly or quarterly	BOF	Emilia Toivanen (BOF)	luottotieto(at)bof.fi Annamari Pajunen (BOF)	-Regulation (ECB/2016/13) -Guidelines (ECB/2017/38, as amended by ECB/2020/11)